

# Online - Commission and Fee Schedule



Effective Date October 15, 2020

## Commission Schedule

### EQUITIES

#### Internet Trades

Flat Charge	\$14.95
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• Each transaction is subject to a \$1.00 postage and handling fee

#### Client Services Agent Assisted Trades

Principal Amount	Commission Rate
\$0.00 - \$2,000.00	\$10.00
\$2,000.01 - \$25,000.00	\$60.00 + .65% of principal
\$25,000.01 - \$50,000.00	\$100.00 + .60% of principal
\$50,000.01 - \$100,000.00	\$125.00 + .55% of principal
\$100,000.01 +	\$175.00 + .50% of principal

### OPTIONS

\$45.00 plus \$1.50 per contract

- Each transaction is subject to a \$1.00 postage and handling fee.
- All option transactions are subject to a minimum of \$45.00.

### MUTUAL FUNDS

All Loaded Funds	Follows the schedule set forth by the fund
All No Load Funds	\$25.00 per purchase transaction
All Money Market Funds	\$0.00 (no transaction fee)

*All Commissions, Rates, and Fees are subject to change and may vary by program and arrangement.*

\*Passageway is an investment advisory service offered by Fifth Third Securities. For additional information about the advisory services offered by Fifth Third Securities, please speak with your Investment Professional or ask for a copy of the Firm's Passageway Managed Account Wrap Fee Program Brochure (ADV Part 2A).

Fifth Third Securities is the trade name used by Fifth Third Securities, Inc., member FINRA/SIPC, a wholly owned subsidiary of Fifth Third Bank, N.A., a registered broker-dealer, and a registered investment advisor registered with the U.S. Securities and Exchange Commission (SEC). Registration does not imply a certain level of skill or training.

#### Securities, Investments, Investment Advisory Services, and Insurance:

Are Not FDIC Insured	Offer No Bank Guarantee	May Lose Value
Are Not Insured By Any Federal Government Agency	Are Not A Deposit	

Insurance products made available through Fifth Third Insurance Agency, Inc.

## Fee Schedule

Fee Description	Fee
Account Transfer (Outgoing)	\$75.00
Service Fee	\$6.00
Aged Legal Items	\$25.00
Annual Custody and Recordkeeping Fee <sup>1,2,3</sup>	\$25.00
<sup>1</sup> Periodic investments, systematic withdrawals, mutual fund exchanges, and equity dividend reinvestments are defined as trading activity. All trading activity must settle on, or prior to, the last business day of the current calendar year to exempt an account from the fee. Mutual fund dividend reinvestments are not defined as trading activity. <sup>2</sup> Applies when an account holds a position or balance on the last business day of the current calendar year and the account establishment date is prior to January 1st of the current calendar year. <sup>3</sup> Does not apply when: <ul style="list-style-type: none"> <li>• There is trading activity during the current calendar year</li> <li>• Account has a net account value of \$50,000.00 or greater as of the valuation date</li> <li>• The holder has Enhanced Checking and at least \$25,000.00 in a brokerage account</li> <li>• The holder has a Private Bank, Preferred or PCA Checking Account</li> <li>• Account is in the Passageway Managed Account Program</li> </ul>	
Debit Interest Charge	NFBLR plus 3% <sup>4</sup>
<sup>4</sup> The National Financial Base Lending Rate (NFBLR) is set at the discretion of National Financial Services LLC (NFS) after considering commercially recognized interest rates, industry conditions regarding the extension of margin credit and general credit conditions.	
Foreign Security Movement	\$75.00
Overnight Mailing Fee	\$10.00
Mutual Fund No Load Transaction Fee <sup>5</sup>	\$25.00
<sup>5</sup> Waived for Passageway Managed Account Program*	
Transfer Agent - Register/Ship	\$25.00
Physical Reorganization Fee	\$25.00
Premiere Select Retirement Annual Fee <sup>6,7</sup>	
Market Value of less than \$40,000.00	\$50.00
Market Value of \$40,000.00 to \$99,999.99	\$35.00
Market Value of \$100,000.00 or greater	\$0.00
<sup>6</sup> As of the valuation date - please contact your Registered Representative for details	
<sup>7</sup> Waived for Passageway Managed Account Program*	
Premiere Select Retirement Termination Fee <sup>8</sup>	\$125.00
<sup>8</sup> Waived for Passageway Managed Account Program*	
Research Fee (per item)	\$10.00
Return Check	\$50.00
Stop Payment on Check	\$30.00
Trade Settlement Extensions	\$30.00
Wire Transfer	\$15.00